

GOVERNMENT CORPORATION INFORMATION SHEET (GCIS)

FOR THE YEAR 2021

GENERAL INSTRUCTIONS:

1. FOR GOVERNMENT CORPORATION: THIS GCIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF RELEASE OF MEMORANDUM CIRCULAR NO. 3 AND SUBSEQUENTLY THEREAFTER, 30 DAYS FROM THE DATE OF THE STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE GOVERNMENT CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GCIS TOGETHER WITH AN AFFIDAVIT OF NON-HOLDING OF MEETING WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE SCHEDULED ANNUAL MEETING (AS PROVIDED IN THE BY-LAWS). HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GCIS SHALL BE SUBMITTED/FILED.
3. THIS GCIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION.
4. THE GCG SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE GOVERNMENT CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GCIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION. THE PAGE OF THE GCIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT A SOFT COPY IN EXCEL FORMAT SAVED IN A NON-REWRITABLE CD THE GCIS TO THE GCG SECRETARIAT, ROOM 479 MABINI HALL, MALACANANG COMPOUND.
6. **ONLY THE GCIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GCIS MAY BE USED AS EVIDENCE AGAINST THE GOVERNMENT CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION		DATE CREATED:
SECONDARY NAME, IF ANY. SMALL BUSINESS CORPORATION		January 24, 1991
CHARTER, IF ANY. R.A. 9501 (R.A. 8289, R.A. 6977)		FISCAL YEAR END: December 31
DATE OF ANNUAL MEETING PER BY-LAWS: Fourth Thursday of September each year or at a time as may be decided by the Board		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 001-115-399
ACTUAL DATE OF ANNUAL MEETING: Fourth Thursday of September each year or at a time as may be decided by the Board		URL ADDRESS: www.sbcorp.gov.ph
COMPLETE PRINCIPAL OFFICE ADDRESS: 17th and 18th Floors 139 Corporate Center, 139 Valero St., Salcedo Village, Makati City		E-MAIL ADDRESS:
COMPLETE BUSINESS ADDRESS: same as the above		lunacacanando@sbcorp.gov.ph FAX NUMBER: (02) 8813-5720
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Commission on Audit		ATTACHED AGENCY (if applicable): N.A. TELEPHONE NUMBER(S): (02) 5328-1100
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: financing for mSMEs, capacity building for mSMEs and FIs.		GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	ADDRESS
N.A.	N.A.
SUBSIDIARY/AFFILIATE	ADDRESS
N.A.	N.A.

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME: **SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION**

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK, if applicable

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
100,000,000	COMMON	80,000,000	100.00	8,000,000,000.00
	PREFERRED	20,000,000	100.00	2,000,000,000.00
TOTAL		100,000,000	TOTAL P	10,000,000,000.00

SUBSCRIBED CAPITAL, if applicable

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
99,625,064	5	COMMON	79,693,269		100.00	7,969,326,900.00	79.99%
	2	PREFERRED	19,931,795		100.00	1,993,179,500.00	20.01%
TOTAL			99,625,064	TOTAL	TOTAL P	9,962,506,400.00	100.00%

PAID-UP CAPITAL, if applicable

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
99,625,064	5	COMMON	79,693,269	100.00	7,969,326,900.00	79.99%
	2	PREFERRED	19,931,795	100.00	1,993,179,500.00	20.01%
TOTAL			99,625,064	TOTAL P	9,962,506,400.00	100.00%

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GOVERNMENT CORPORATION NAME: **SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION**

DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	PRESIDENTIAL APPOINTEE	BOARD	STOCK HOLDER	EX-Officio	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN)	COMPENSATION PACKAGE/YR	DESIGNATION TO OTHER BOARDS OF AFFILIATES/SUBSIDIARIES
1. Dir. Blesila A. Lantayona** Trade and Industry Bldg. 361 Sen. Gil Puyat Avenue, Makati City	N	C	N	Y	G/C	123-993-247	(please see footnote)	N.A.
2. Dir. Ma. Luna E. Cacanando 18F 139 Corporate Center, Valero St., Salcedo Village, Makati City	Y	M	N	N	G/M, R/M, I/M, V/M	106-022-805	(please see footnote)	N.A.
3. Dir. Rosalia V. De Leon (1st Alternate) 3F Ayuntamiento Bldg., Cabido St. cor. A. Soriano Jr. Ave., Intramuros, Manila	N	M	N	Y	N/A	124-136-490	(please see footnote)	N.A.
4. Dir. Annie F. Candelaria (2nd Alternate) 3F Ayuntamiento Bldg., Cabido St. cor. A. Soriano Jr. Ave., Intramuros, Manila	N	M	N	Y	A/M, R/M, I/M	106-808-563	(please see footnote)	N.A.
5. Dir. Manuel B. Bendigo Maple St., Santos-Cayugan Subdivision, Shrine Drive, Matina, Davao City	N	M	N	N	none	105-259-775	(please see footnote)	N.A.
6. Dir. Joe Jay T. Doctora Gonzaga Extension Dona Juliana Subd., Bacolod City	Y	M	N	N	C/M, A/C, R/M, V/M, I/M	111-716-313	(please see footnote)	N.A.
7. Dir. Arnulfo V. Gaido Blk 26, Lot 5, Bellavita CDO, Indanag, Cagayan de Oro	Y	M	N	N	A/M, R/C, G/M	115-784-113	(please see footnote)	N.A.
8. Dir. Elvira L. Go B13 L22-24 Tandang Sora St., Regency Plain Subd., Iponan, Cagayan de Oro City	Y	M	N	N	A/M, V/M	201-872-269	(please see footnote)	N.A.
9. Dir. Voltaire B. Magpayo 31 Filheights Ave., Filinvest Heights Subd., Brgy. Bagong Silangan, Q.C.	Y	M	N	N	I/C, C/M, V/M	146-056-172	(please see footnote)	N.A.
10. Dir. Jacob S. Vasquez 1292 Sunset Dr., Brookside Hills Subd., Cainta, Rizal	Y	M	N	N	I/M, V/C	262-986-330	(please see footnote)	N.A.

INSTRUCTIONS:

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR, "N" FOR PRESIDENTIAL APPOINTEE COLUMN, PUT "Y" IF A PRESIDENTIAL APPOINTEE "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE.
 "A" FOR AUDIT COMMITTEE; "R" FOR RISK OVERSIGHT COMMITTEE; "G" FOR GOVERNANCE COMMITTEE; "I" FOR IT OVERSIGHT COMMITTEE, "V" FOR VENTURE CAPITAL COMMITTEE
 "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.
 * Per diem per Board meeting actually attended provided to members of the Board (except ex-officio Board members including their authorized alternates/representatives) is Php 10,000.00 while per diem for Board Committee meetings is Php 6,000.00.
 ** Dir. Lantayona as Acting Chairman of SBCorp effective August 2019.

GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

BOARD COMMITTEES	FUNCTIONS	COMPOSITION
1. CORPORATE GOVERNANCE COMMITTEE	A. Formulation and institutionalization of the Corporation's Code of Corporate Governance and Code of Ethics and in ensuring adherence to the Codes;	Dir. Blesila A. Lantayona (Chairman), Dir. Ma. Luna E. Cacanando (Member), Dir. Arnulfo V. Galdo (Member), Dir. Joe Jay T. Doctora (Member), Dir. Voltaire B. Magpayo (Member)
	B. Review from time to time the Codes and recommend any changes to the Board;	
	C. Ensure attendance of Directors to corporate governance seminars as required by BSP;	
	D. Oversee the periodic performance evaluation of the Board and its Committees;	
	E. Review regularly the organization plan and structure, compensation package and manpower plan to ensure adequacy in meeting the growth need of the Corporation;	
	F. Review and evaluate the qualifications of all persons nominated to positions requiring appointment by the Board.	
BOARD COMMITTEES	FUNCTIONS	COMPOSITION
2. AUDIT AND COMPLIANCE COMMITTEE	A. Review of the quarterly, half-year and annual financial statements focusing particularly on the change/s in accounting policies and practices, major judgment areas, significant adjustment resulting to audit, going concern assumption, compliance with PFRS, and compliance with tax, legal and Commission on Audit/BSP requirements;	Dir. Dir. Joe Jay T. Doctora (Chairman), Dir. Annie F. Candelaria (Member), (Member), Dir. Arnulfo V. Galdo (Member), Dir. Elvira L. Go (Member)
	B. Receive and review reports of internal auditor and regulatory agencies and ensure that Management is taking appropriate corrective actions in timely manner in addressing control and compliance functions with regulatory agencies;	
	C. Review interim financial reports with Management before filing with regulators and consider whether they are complete and consistent with the information known to committee members;	
	D. Understand how Management develops interim financial information and the nature and extent of internal and external auditor involvement;	
	E. Consider the effectiveness of the company's internal control system;	

	F. Understand the scope of internal and external auditor's review of internal control over financial reporting and obtain reports on significant findings and recommendations, together with Management's responses;	
	G. Review with Management and the Chief Audit Officer the charter, plans, activities, staffing and organizational structure of the internal audit function;	
	H. Regularly report to the Board about committee activities, issues and related recommendations;	
	I. Review and evaluate findings and recommendations from completed compliance activities and audit, including Management responses and action plans.	
	BOARD COMMITTEES	FUNCTIONS
3.	FINANCE RISK OVERSIGHT COMMITTEE (formerly Risk Oversight Committee)	COMPOSITION
	A. Identification and evaluation of exposures;	Dir. Arnulfo V. Galdo (Chairman), Dir. Ma. Luna E. Cacanando (Member), Dir. Annie F. Candelaria (Member), Dir. Joe Jay T. Doctora (Member)
	B. Development of risk management strategies;	
	C. Implementation of the Risk Management Plan;	
	D. Review and revision of the Plan as needed.	
	E. The committee shall meet with, request/gather (or compel submission, in proper cases), receive and evaluate information from Management and appropriate sources and act or approve proposals on items relating to the following risks: credit risk, operational risk, compliance risk, market risk, liquidity and solvency risk.	
	BOARD COMMITTEES	FUNCTIONS
4.	BOARD IT AND PLATFORMIFICATION COMMITTEE (formerly Board Oversight Committee on Technology and Information Management)	COMPOSITION
	A. Evaluate the effectiveness of IT governance structure to ensure adequate board control over the decisions, directions and performance of technology and information management;	Dir. Voltaire B. Magpayo (Chairman), Dir. Ma. Luna E. Cacanando (Member), Dir. Annie F. Candelaria (Member), Dir. Joe Jay T. Doctora (Member), Dir. Jacob S. Vasquez (Member)
	B. Set the strategic directions for technology and information management and ensure that these are aligned with and will sustain the corporate vision and mission;	
	C. Review and monitor the implementation of Information Security Program to ensure the confidentiality, integrity, and availability of SBC's information resources;	

	D. Evaluate information Management and IT organizational structure, investment, use and allocation practices and commitment of resources in terms of time, personnel and equipment to ensure that they support the organization's strategies and objectives;	
	E. Evaluate risk management practices to ensure that the organization's IT-related risks are properly managed;	
	F. Evaluate management practices to ensure compliance with the organization's IT strategy, policies, standards and procedures;	
	G. Review the Technology and Information Management policies, standards, procedures and processes for their development, approval, implementation and maintenance to ensure that they support the IT strategy and comply with regulatory and legal requirements.	
BOARD COMMITTEES	FUNCTIONS	COMPOSITION
5. BOARD COMMITTEE ON VENTURE CAPITAL REVIEW	A. Review and endorse to the Board for approval policies and procedures relating to the implementation of the VC Program; B. Review and endorse to the Board for approval accounts/projects for enrolment under the VC Program.	Dir. Jacob S. Vasquez (Chairman), Dir. Ma. Luna E. Cacanando (Member), Dir. Joe Jay T. Doctora (Member), Dir. Elvira L. Go (Member), Dir. Voltaire B. Magpayo (Member)
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GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

LIST OF AFFILIATES/SUBSIDIARIES	DESCRIPTION	NATURE/ EXTENT OF INVESTMENT
N.A.		

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION						
TOTAL NUMBER OF STOCKHOLDERS:			5			
TOTAL ASSETS BASED ON LATEST AVAILABLE (Audited 2020) FINANCIAL STATEMENTS: PhP 16.04 Billion						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY, IF APPLICABLE AND CURRENT ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP), IF APPLICABLE	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. NATIONAL GOVERNMENT (BUREAU OF THE TREASURY) Palacio del Gobernador Building, Intramuros, Manila	COMMON	72,543,692	7,254,369,200.00	72.82%	8,847,548,700.00	000-746-670-000
	PREFERRED	15,931,795	1,593,179,500.00	15.99%		
2. LAND BANK OF THE PHILIPPINES LandBank Plaza 1598 M.H. Del Pilar Street, Malate, Manila	COMMON	3,936,115	393,611,500.00	3.95%	393,611,500.00	000-470-349-000
3. DEVELOPMENT BANK OF THE PHILS. DBP Bldg., Sen. Gil Puyat cor. Makati Avenue, Makati City	COMMON	2,186,731	218,673,100.00	2.19%	218,673,100.00	000-449-609-000
4. GOVT. SERVICE INSURANCE SYSTEM GSIS Financial Center, Pasay City	COMMON	466,731	46,673,100.00	0.47%	46,673,100.00	000-766-810-000
	PREFERRED	2,000,000	200,000,000.00	2.01%		
5. SOCIAL SECURITY SYSTEM East Avenue, Diliman, Quezon City	COMMON	160,000	16,000,000.00	0.16%	16,000,000.00	000-728-944-000
	PREFERRED	2,000,000	200,000,000.00	2.01%		
6. TREASURY STOCKS	COMMON	400,000	40,000,000.00	0.40%	40,000,000.00	
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			9,962,506,400.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					9,962,506,400	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GOVERNMENT CORPORATION INFORMATION SHEET

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CORPORATE NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

TOTAL NUMBER OF STOCKHOLDERS: _____

TOTAL ASSETS BASED ON LATEST AUDITED FS: _____

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY, IF APPLICABLE AND CURRENT ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. N.A.						
	TOTAL					
9.						
	TOTAL					
10.						
	TOTAL					
11.						
	TOTAL					
12.						
	TOTAL					
13.						
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						

GOVERNMENT CORPORATION INFORMATION SHEET

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CORPORATE NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION						
TOTAL NUMBER OF STOCKHOLDERS:						
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
15. N.A.						
	TOTAL					
16.						
	TOTAL					
17.						
	TOTAL					
18.						
	TOTAL					
19.						
	TOTAL					
20.						
	TOTAL					
21. OTHERS (Indicate the number of the remaining stockholders)						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						

GOVERNMENT CORPORATION INFORMATION SHEET

PLEASE PRINT LEGIBLY			
GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations, IF APPLICABLE)			
1.3 LOANS/ CREDITS/ ADVANCES* (Loans Receivable, net of allowance)	9,989,340,993.45		
1.4 GOVERNMENT TREASURY BILLS (Bonds, LTNCD, Tier II Notes)	3,416,825,051.11		
1.5 OTHERS (HYSAs & Equity Venture)			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A			
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	400,000	0.40%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST YEAR 2020 : (55,994,450.00)			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	9,349,483.00	April 30, 2021	
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
3/26/2021	80,426,044.00	8,042,604,400.00	
<i>Note: booked in 2020 but stock certificates were issued March 26, 2021</i>			
SECONDARY LICENSE/REGISTRATION WITH SEC AND/OR OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
For the year 2020 : 1,368,000.00	28	135	163

NOTE: USE ADDITIONAL SHEET IF NECESSARY

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

SCHEDULE OF CAPITAL EXPENDITURE						
PARTICULARS	SECTOR	FOREIGN COMPONENT		NO SUPPORT SUBSIDY		Bank loans
		Loan	Grant	Project	Tax	
	N.A.					
	Other Source				Total Cost	Status
	N.A.					

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

INCOME STATEMENT

For the year : 2021 (Audited)

	Amount
INCOME	
Service and Business Income	654,809,959
Gains	10,534,489
Other Non-Operating Income	1,436,480
Total Income	666,780,927
Less: Share of National Government	-
Income After Share of National Government	666,780,927
EXPENSES	
Personnel Services	240,205,873
Maintenance and Other Operating Expenses	166,528,920
Financial Expenses	11,750,195
Non-Cash Expenses	726,256,659
Total Expenses	1,144,741,647
Profit/(Loss) Before Tax	(477,960,720)
Income Tax Expense/(Benefit)	(78,801,733)
Profit/(Loss) After Tax	(399,158,987)
Subsidy Income	1,000,000,000
Net Income/(Loss)	600,841,013

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

CASH FLOW STATEMENT

For the year : 2021 (Audited)

CASH FLOW FROM OPERATING ACTIVITIES	Amount
Cash Inflows	5,543,079,841
Cash Outflows	8,313,097,615
Net Cash Provided By (Used in) Operating Activities	(2,770,017,774)
CASH FLOWS FROM INVESTING ACTIVITIES	
Cash Inflows	20,288,617,627
Cash Outflows	22,611,584,090
Net Cash Provided By (Used In) Investing Activities	(2,322,966,463)
CASH FLOWS FROM FINANCING ACTIVITIES	
Cash Inflows	-
Cash Outflows	812,799,918
Net Cash Provided By (Used In) Financing Activities	(812,799,918)
Effects of Exchange Rate Changes on Cash and Cash Equivalents	51,341
Net Increase (Decrease) in Cash and Cash Equivalents	(5,905,732,814)
Cash and Cash Equivalents, Beginning of Period	7,555,580,765
Cash and Cash Equivalents, Ending	1,649,847,951

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

For the year: 2021

CASH AND INVESTMENT BALANCE REPORT

	AMOUNT	TERM (No. of Days)	RATE (Per Annum)	DATE
I. Cash on Hand	62,205			
II. Cash in Banks	649,176,887			
III. Net Deposits with BTr				
IV. Special Series (Treasury Bills) with Bangko Sentral (Identify those under trust agreements)				
V. Special Series Placements with BTr (Identify those under trust agreements)	-			
VI. Investments in Other Securities	-			
VII. Others	3,416,825,051			

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION
BALANCE SHEET

For the year : 2021 (Audited)

ASSETS	ASSETS	Amount
	Current Assets	
Cash and Cash Equivalents		1,649,847,951
Investments		1,802,284,054
Receivables		4,288,973,173
Investment Property		-
Inventories		391,964
Non-Current Assets Held for Sale		10,791,773
Other Assets		11,169,796
Total Current Assets		7,763,458,711
	Non-Current Assets	
Investments		1,614,540,997
Receivables		5,700,367,820
Property, Plant and Equipment		73,834,276
Investment Property		44,014,872
Deferred Tax Assets		287,853,207
Other Assets		5,673,337
Total Non-Current Assets		7,726,284,510
	TOTAL ASSETS	15,489,743,220
LIABILITIES	LIABILITIES AND EQUITY	
	Current Liabilities	
Financial Liabilities		62,730,850
Inter-Agency Payables		11,415,168
Intra-Agency Payables		91,590,083
Trust Liabilities		1,371,166
Deferred Credits/Unearned Income		24,334,084
Provisions		-
Other Payables		58,076,190
Total Current Liabilities		249,517,543
	Non-Current Liabilities	
Financial Liabilities		17,896,316
Inter-Agency Payables		40,000,000
Deferred Tax Liabilities		7,138
Total Non-Current Liabilities		57,903,454
	TOTAL LIABILITIES	307,420,997
EQUITY	EQUITY	
Share Capital		9,962,506,400
Share Premium		79,510,460
Retained Earnings		5,180,305,364
Treasury Shares		(40,000,000)
TOTAL EQUITY		15,182,322,224
TOTAL LIABILITIES AND EQUITY		15,489,743,220

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

NET OPERATING INCOME	<i>Amount</i>
Schedule 1A	
Accounts receivable - national government	
Clients deposit	
Collection from advances on insured receivables	
Collection of loans receivables	
Collection of pari-passu payables	
Collection of receivables -- clients	
Collection of receivables -- employees/others	
Collection of receivables -- clients, employees, others	
Collection of Sales Contract Receivable	
Dividend Income	-
Foreign Exchange gains	-
Gain on sale of Investment	
Interest and penalties	
interest on investments and deposits	-
Lease income (office space)	
Lease income from acquired assets	-
Lease payment receivable -- car plan	
Miscellaneous deposit	
Misc inc-- service charge	-
Payable to clients/employees/govet agencies	
Payable to clients/employees/ others	
Redemption of agrarian reform bonds	
Reinsurance claims -- ECI	
Reinsurance premium -- Trust liabilities	
Sale of transportation of equipment	
Sale of unserviceable equipment	-
Add: (other Receipts)	
Miscellaneous Income	-
Total:	
TOTAL:	-

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

CHART OF ACCOUNTS

For the year : 2021

Net Operating Income	
Sale of Acquired Assets	-
Collection of Advances on Guaranteed Loans	
Advances on Guaranteed Loans	-
Capital Expenditures	
Dividend Payments	
CASH SURPLUS/DEFICIT	
<i>Operating Receipts</i>	
Sale of goods/services	
Income from commitment and gtee fee	
Filing, processing & amendment fees	-
Interest Income -- Direct lending	-
Insurance Premium	
Fund Arrangement Fees	
Add: (Other receipts)	
TOTAL:	-
Current Subsidies:	
Other receipts: (Schedule 1A)	-
Total Receipts:	-
Less:	
Interest and Financial Charges	-
Final Withholding Tax	
Prior period expenses	
Other current expenditures	
MOOE	-
PS	-
Research and Development Cost	-
Credit Cost	-
Total deductions	-
Net Operating Income	-

I, ATTY. LIVIAN MAY SANCHEZ-LLORITO, Acting Corporate Secretary OF THE ABOVE-MENTIONED
(NAME) (POSITION)


GOVERNMENT CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GOVERNMENT CORPORATION INFORMATION SHEET WHICH CONSISTS OF SEVENTEEN (17) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND

DONE THIS 11TH DAY OF OCTOBER 2022 IN MAKATI CITY.


ATTY. LIVIAN MAY SANCHEZ LLORITO
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY CITY/PROVINCE, PHILIPPINES ON OCT 11 2022, AFFIANT PERSONALLY APPEARED BEFORE ME HER IBP CARD WITH ATTY. ROLL NO. 58800.

DOC. NO: 496
PAGE NO. 101
BOOK NO. 29
SERIES OF 2022


ATTY. JOSHUA P. LAPUZ
Notary Public CITY/PROVINCE
Notarial Commission No. Until Dec. 31, 2023
Commission expires on December 31, 2023
Roll of Attorney Number Instrument No. M-019-(2022-2023)
PTR No. PTR No. 8652510 Jan. 3, 2022 / Makati
IBP No. IBP Lifetime No. 04897 Roll No. 45790
IBP No. MCLE Compliance No. VI-0016565
Office Address: G/F Fedman Bldg., 199 Salcedo St.
Legaspi Village, Makati City