

GOVERNMENT CORPORATION INFORMATION SHEET (GCIS)

FOR THE YEAR 2022

GENERAL INSTRUCTIONS:

1. FOR GOVERNMENT CORPORATION: THIS GCIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF RELEASE OF MEMORANDUM CIRCULAR NO. 3 AND SUBSEQUENTLY THEREAFTER, 30 DAYS FROM THE DATE OF THE STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE GOVERNMENT CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GCIS TOGETHER WITH AN AFFIDAVIT OF NON-HOLDING OF MEETING WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE SCHEDULED ANNUAL MEETING (AS PROVIDED IN THE BY-LAWS). HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GCIS SHALL BE SUBMITTED/FILED.
3. THIS GCIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION.
4. THE GCG SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE GOVERNMENT CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GCIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION. THE PAGE OF THE GCIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT A SOFT COPY IN EXCEL FORMAT SAVED IN A NON-REWRITABLE CD THE GCIS TO THE GCG SECRETARIAT, ROOM 479 MABINI HALL, MALACANANG COMPOUND.
6. **ONLY THE GCIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GCIS MAY BE USED AS EVIDENCE AGAINST THE GOVERNMENT CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION	DATE CREATED:
SECONDARY NAME, IF ANY. SMALL BUSINESS CORPORATION	January 24, 1991
CHARTER, IF ANY. R.A. 9501 (R.A. 8289, R.A. 6977)	FISCAL YEAR END: December 31
DATE OF ANNUAL MEETING PER BY-LAWS: Fourth Thursday of September each year or at a time as may be decided by the Board	CORPORATE TAX IDENTIFICATION NUMBER (TIN) 001-115-399
ACTUAL DATE OF ANNUAL MEETING: Fourth Thursday of September each year or at a time as may be decided by the Board	URL ADDRESS: www.sbcorp.gov.ph
COMPLETE PRINCIPAL OFFICE ADDRESS: 17th and 18th Floors 139 Corporate Center, 139 Valero St., Salcedo Village, Makati City	E-MAIL ADDRESS:
COMPLETE BUSINESS ADDRESS: same as the above	rbastillo@sbcorp.gov.ph FAX NUMBER: (02) 8813-5720
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Commission on Audit	ATTACHED AGENCY (if applicable): N.A. TELEPHONE NUMBER(S): (02) 5328-1100
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: financing for mSMEs, capacity building for mSMEs and FIs.	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	ADDRESS
N.A.	N.A.
SUBSIDIARY/AFFILIATE	ADDRESS
N.A.	N.A.

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME: **SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION**

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK, if applicable

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
100,000,000	COMMON	80,000,000	100.00	8,000,000,000.00
	PREFERRED	20,000,000	100.00	2,000,000,000.00
TOTAL		100,000,000	TOTAL P	10,000,000,000.00

SUBSCRIBED CAPITAL, if applicable

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
99,625,064	5	COMMON	79,693,269		100.00	7,969,326,900.00	79.99%
	2	PREFERRED	19,931,795		100.00	1,993,179,500.00	20.01%
TOTAL			99,625,064	TOTAL	TOTAL P	9,962,506,400.00	100.00%

PAID-UP CAPITAL, if applicable

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
99,625,064	5	COMMON	79,693,269	100.00	7,969,326,900.00	79.99%
	2	PREFERRED	19,931,795	100.00	1,993,179,500.00	20.01%
TOTAL			99,625,064	TOTAL P	9,962,506,400.00	100.00%

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	PRESIDENTIAL APPOINTEE	BOARD	STOCK HOLDER	EX-Officio	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN)	COMPENSATION PACKAGE/YR	DESIGNATION TO OTHER BOARDS OF AFFILIATES/SUBSIDIARIES
1. Dir. Blesila A. Lantayona** Trade and Industry Bldg. 361 Sen. Gil Puyat Avenue, Makati City	N	C	N	Y	G/C	123-993-247	(please see footnote)	N.A.
2. Dir. Robert C. Basillo 18F 139 Corporate Center, Valero St., Salcedo Village, Makati City	Y	M	N	N	G/M, F/M, I/M, L/M, S/M	106-022-805	(please see footnote)	N.A.
3. Dir. Rosalia V. De Leon (1st Alternate) 3F Ayuntamiento Bldg., Cabido St. cor. A. Soriano Jr. Ave., Intramuros, Manila	N	M	N	Y	N/A	124-136-490	(please see footnote)	N.A.
4. Dir. Annie F. Candelaria (2nd Alternate) 3F Ayuntamiento Bldg., Cabido St. cor. A. Soriano Jr. Ave., Intramuros, Manila	N	M	N	Y	A/M, F/M	106-808-563	(please see footnote)	N.A.
5. Dir. Avelino L. Andal PC Andal Compound, Maharlika Highway, Concepcion, Sariaya, Quezon	Y	M	N	N	F/M, A/M	110-570-301	(please see footnote)	N.A.
6. Dir. Manuel B. Bendigo Maple St., Santos-Cayugan Subdivision, Shrine Drive, Matina, Davao City	Y	M	N	N	none	105-259-775	(please see footnote)	N.A.
7. Dir. Joe Jav T. Doctora Gonzaga Extension Dona Juliana Subd., Bacolod City	Y	M	N	N	C/M, A/M, L/C, I/M	111-716-313	(please see footnote)	N.A.
8. Dir. Amulfo V. Galdol Blk 26, Lot 5, Bellavita CDO, Indanag, Cagayan de Oro	Y	M	N	N	A/M, F/C	115-784-113	(please see footnote)	N.A.
9. Dir. Elvira L. Go B13 L22-24 Tandang Sora St., Regency Plain Subd., Iponan, Cagayan de Oro City	Y	M	N	N	C/M, A/C, I/M, L/M, S/C	201-872-269	(please see footnote)	N.A.
10. Dir. Voltaire B. Magpayo 31 Filheights Ave., Filinvest Heights Subd., Brgy. Bagong Silangan, Q.C.	Y	M	N	N	I/C, C/M, F/M, L/M	146-056-172	(please see footnote)	N.A.
11. Dir. Jacob S. Vasquez 1292 Sunset Dr., Brookside Hills Subd., Cainta, Rizal	Y	M	N	N	I/M, L/M, S/M	262-986-330	(please see footnote)	N.A.

INSTRUCTIONS:
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR, "Y" FOR PRESIDENTIAL APPOINTEE COLUMN, PUT "Y" IF A PRESIDENTIAL APPOINTEE, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE, "A" FOR AUDIT COMMITTEE, "F" FOR FINANCE AND RISK OVERSIGHT COMMITTEE, "G" FOR GOVERNANCE COMMITTEE, "I" FOR IT OVERSIGHT COMMITTEE, "L" FOR LOANS AND VENTURE CAPITAL COMMITTEE, "S" FOR STRATEGIC COMMUNICATIONS COMMITTEE, "N" FOR NOMINATION AND ELECTION COMMITTEE.
 ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.
 * Per diem per Board meeting actually attended provided to members of the Board (except ex-officio Board members including their authorized alternates/representatives) is Php 10,000.00 while per diem for Board Committee meetings is Php 6,000.00.
 ** Dir. Lantayona as Acting Chairman of SBCorp effective August 2019.

GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

BOARD COMMITTEES	FUNCTIONS	COMPOSITION
A. CORPORATE GOVERNANCE COMMITTEE	1. Formulation and institutionalization of the Corporation's Code of Corporate Governance and Code of Ethics and in ensuring adherence to the Codes;	Dir. Blesila A. Lantayona (Chairperson), Dir. Robert C. Bastillo (Member), Dir. Elvira L. Go (Member), Dir. Joe Jay T. Doctora (Member), Dir. Voltaire B. Magpayo (Member)
	2. Review from time to time the Codes of Corporate Governance, the Code of Ethics and Charter and recommend any changes to the Board;	
	3. Ensure attendance of Directors to corporate governance seminars;	
	4. Determine if there is any potential conflict of interest by a Director and key officers, and institute a process for handling these situations in accordance with existing laws, rules and regulations and in line with global as well as ethical and other regulatory standards;	
	5. Oversee the periodic performance evaluation of the Board and its Committees. It shall also conduct an annual self-evaluation of its performance;	
	6. Decide the manner by which the Board's performance may be evaluated and proposed an objective performance criteria approved by the Board. Such performance indicators shall address how the Board has enhanced long term shareholder's value;	
	7. Review regularly the organization plan and structure including proposed organizational changes/enhancements, compensation package and manpower plan to ensure adequacy in meeting the growth need of the Corporation;	
	8. Review and evaluate the qualifications of all persons nominated to positions requiring appointment by the Board of Directors;	
	9. Oversee the Corporation's compliance efforts with respect to the Code of Corporate Governance, Code of Ethics and related laws, rules and regulations as well as company policies and procedure;	
	10. Network with other offices with the end view of reviewing programs designed to raise the culture of ethics and compliance within the Corporation, and corollarily, to install an enforcement mechanism which should sanction non-compliance and unethical behavior while rewarding the deserving ones.	
BOARD COMMITTEES	FUNCTIONS	COMPOSITION
B. AUDIT AND COMPLIANCE COMMITTEE	1. Review of the quarterly, half-year and annual financial statements focusing particularly on the change/s in accounting policies and practices, major judgment areas, significant adjustment resulting to audit, going concern assumption, compliance with PFRS, and compliance with tax, legal and Commission on Audit/BSP requirements;	Dir. Elvira L. Go (Chairperson), Dir. Annie F. Candelaria (Member), Avelino L. Andal (Member), Dir. Arnulfo V. Galdo (Member), Dir. Joe Jay T. Doctora (Member)
	2. Receive and review reports of internal auditor and regulatory agencies and ensure that Management is taking appropriate corrective actions in timely manner in addressing control and compliance functions with regulatory agencies;	
	3. Review interim financial reports with Management before filing with regulators and consider whether they are complete and consistent with the information known to committee members;	
	4. Understand how Management develops interim financial information and the nature and extent of internal and external auditor involvement;	
	5. Consider the effectiveness of the company's internal control system;	

	6. Understand the scope of internal and external auditor's review of internal control over financial reporting and obtain reports on significant findings and recommendations, together with Management's responses;	
	7. Review with Management and the Chief Audit Officer the charter, plans, activities, staffing and organizational structure of the internal audit function;	
	8. Ensure the independence of the internal audit function;	
	9. Review the effectiveness of the internal audit function, including compliance with sound internal auditing standards such as the Institute of Internal Auditors' International Standards for the Professional Practice of Internal Auditing and other supplemental standards issued by regulatory authorities/government agencies, as well as with relevant code of ethics;	
	10. Review discoveries of fraud and violations of law and regulations as raised by the internal audit function;	
	11. Ensure there are no unjustified restrictions or limitations, and review and concur in the appointment, replacement, or dismissal of the chief audit officer;	
	12. Oversee the corporate compliance program, including policies and practices designed to ensure the organization's compliance with all applicable legal, regulatory and ethical requirements;	
	13. Recommend approval of the annual corporate compliance plan and review processes and procedures for reporting concerns by officers, employees and others;	
	14. Recommend organizational integrity guidelines and a Code of Conduct. Review and reassess the guidelines and Code of Conduct;	
	15. Review resources for corporate compliance with the compliance officer and CEO to ensure that sufficient resources are provided;	
	16. Review and evaluate findings and recommendations from completed compliance activities and audit, including Management responses and action plans;	
	17. Regularly report to the Board of Directors at least annually on the corporate compliance program.	
BOARD COMMITTEES	FUNCTIONS	COMPOSITION
C. FINANCE RISK OVERSIGHT COMMITTEE (formerly Risk Oversight Committee)	1. Identification and evaluation of exposures;	Dir. Arnulfo V. Galdo (Chairman), Dir. Robert C. Bastillo (Member), Dir. Annie F. Candelaria (Member), Dir. Avelino L. Andal (Member), Dir. Voltaire B. Magpayo (Member)
	2. Development of risk management strategies;	
	3. Implementation of the Risk Management Plan;	
	4. Review and revision of the Plan as needed.	
	5. The committee shall meet with, request/gather (or compel submission, in proper cases), receive and evaluate information from Management and appropriate sources and act or approve proposals on items relating to the following risks: credit risk, operational risk, compliance risk, market risk, liquidity and solvency risk.	
BOARD COMMITTEES	FUNCTIONS	COMPOSITION
D. BOARD IT AND PLATFORMIFICATION COMMITTEE (formerly Board Oversight Committee on Technology and Information Management)	1. Evaluate the effectiveness of IT governance structure to ensure adequate board control over the decisions, directions and performance of technology and information management;	Dir. Voltaire B. Magpayo (Chairman), Dir. Robert C. Bastillo (Member), Dir. Elvira L. Go (Member), Dir. Joe Jay T. Doctora (Member), Dir. Jacob S. Vasquez (Member)
	2. Set the strategic directions for technology and information management and ensure that these are aligned with and will sustain the corporate vision and mission;	
	3. Review and monitor the implementation of Information Security Program to ensure the confidentiality, integrity, and availability of SBC's information resources;	

	<p>4. Evaluate information Management and IT organizational structure, investment, use and allocation practices and commitment of resources in terms of time, personnel and equipment to ensure that they support the organization's strategies and objectives;</p> <p>5. Evaluate risk management practices to ensure that the organization's IT-related risks are properly managed;</p> <p>6. Evaluate management practices to ensure compliance with the organization's IT strategy, policies, standards and procedures;</p> <p>7. Review the Technology and Information Management policies, standards, procedures and processes for their development, approval, implementation and maintenance to ensure that they support the IT strategy and comply with regulatory and legal requirements.</p>	
BOARD COMMITTEES	FUNCTIONS	COMPOSITION
E. LOANS AND VENTURE CAPITAL REVIEW COMMITTEE (formerly Board Committee on Venture Capital Review)	<p>1. Review and endorse to the Board for approval policies and procedures relating to the implementation of the Venture Capital Program pursuant to RA 6977, as amended by RA 8289 and 9501;</p> <p>2. Review and endorse to the Board for approval accounts/projects for enrolment under the VC Program.</p> <p>3. Review, deliberate and endorse to the Board all financing recommendations (loans and equity investments) and matters related to loan and/or asset recovery submitted by the Management, specifically the following: (a) Retail loans granting above P5.0 million, (b) Wholesale loans granting above P30.0 million for first time borrower Financial Institutions, (c) Wholesale loans granting above P60.0 million for credit renewals of Financial Institutions, (d) Real and Other Properties Acquired (ROPA) sales more than P3.0 million, and (e) Policy deviations relating to credit granting and to loan/asset recovery.</p>	Dir. Joe Jay T. Doctora (Chairman), Dir. Robert C. Bastillo (Member), Dir. Elvira L. Go (Member), Dir. Voltaire B. Magpayo (Member), Dir. Jacob S. Vasquez (Member)
BOARD COMMITTEES	FUNCTIONS	COMPOSITION
F. STRATEGIC COMMUNICATIONS ON PRODUCT INNOVATION AND OVERSIGHT COMMITTEE	<p>1. Provide the overall strategic directions and goals for the communications work of SB Corporation, particularly as it relates to engagement with external stakeholders such as client borrowers, policy makers and lawmakers, other financing institutions, the media, organized stakeholder sectors and others;</p> <p>2. Review the Strategic Communications Framework of the Small Business Corporation and monitor the implementation of the activities included therein;</p> <p>3. Assist the communications department in engaging key stakeholders such as policy and lawmakers, media and organized stakeholders as needed;</p> <p>4. Assess the overall achievement by the SB Corporation of its strategic communications goals and the impact these have on stakeholders;</p> <p>5. In addition to Strategic Communication concerns, review, deliberate and endorse to the Board all board-stroke Product Innovation recommendations of the Management Committee.</p>	Dir. Elvira L. Go (Chairperson), Dir. Robert C. Bastillo (Member), Dir. Jacob S. Vasquez (Member)

GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

LIST OF AFFILIATES/SUBSIDIARIES	DESCRIPTION	NATURE/ EXTENT OF INVESTMENT
N.A.		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====						
GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION						
TOTAL NUMBER OF STOCKHOLDERS:				5		
TOTAL ASSETS BASED ON LATEST AVAILABLE (Audited 2020) FINANCIAL STATEMENTS: PhP 16.04 Billion						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY, IF APPLICABLE AND CURRENT ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP), IF APPLICABLE	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
1. NATIONAL GOVERNMENT (BUREAU OF THE TREASURY) Palacio del Gobernador Building, Intramuros, Manila	COMMON	72,543,692	7,254,369,200.00	72.82%	8,847,548,700.00	000-746-670-000
	PREFERRED	15,931,795	1,593,179,500.00	15.99%		
2. LAND BANK OF THE PHILIPPINES LandBank Plaza 1598 M.H. Del Pilar Street, Malate, Manila	COMMON	3,936,115	393,611,500.00	3.95%	393,611,500.00	000-470-349-000
3. DEVELOPMENT BANK OF THE PHILS. DBP Bldg., Sen. Gil Puyat cor. Makati Avenue, Makati City	COMMON	2,186,731	218,673,100.00	2.19%	218,673,100.00	000-449-609-000
4. GOVT. SERVICE INSURANCE SYSTEM GSIS Financial Center, Pasay City	COMMON	466,731	46,673,100.00	0.47%	46,673,100.00	000-766-810-000
	PREFERRED	2,000,000	200,000,000.00	2.01%		
5. SOCIAL SECURITY SYSTEM East Avenue, Diliman, Quezon City	COMMON	160,000	16,000,000.00	0.16%	16,000,000.00	000-728-944-000
	PREFERRED	2,000,000	200,000,000.00	2.01%		
6. TREASURY STOCKS	COMMON	400,000	40,000,000.00	0.40%	40,000,000.00	
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			9,962,506,400.00		9,962,506,400	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GOVERNMENT CORPORATION INFORMATION SHEET

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CORPORATE NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

TOTAL NUMBER OF STOCKHOLDERS:

TOTAL ASSETS BASED ON LATEST AUDITED FS:

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY, IF APPLICABLE AND CURRENT ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. N.A.						
	TOTAL					
9.						
	TOTAL					
10.						
	TOTAL					
11.						
	TOTAL					
12.						
	TOTAL					
13.						
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						

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CORPORATE NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION						
TOTAL NUMBER OF STOCKHOLDERS:						
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
15. N.A.						
	TOTAL					
16.						
	TOTAL					
17.						
	TOTAL					
18.						
	TOTAL					
19.						
	TOTAL					
20.						
	TOTAL					
21. OTHERS (Indicate the number of the remaining stockholders)						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						

GOVERNMENT CORPORATION INFORMATION SHEET

PLEASE PRINT LEGIBLY			
GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (Php)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations, <i>IF APPLICABLE</i>)			
1.3 LOANS/ CREDITS/ ADVANCES* (Loans Receivable, net of allowance)	9,363,433,000.00		
1.4 GOVERNMENT TREASURY BILLS (Bonds, LTNCD, Tier II Notes)	4,042,973,276.00		
1.5 OTHERS (HYSA & Equity Venture)			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	N/A	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
		400,000	0.40%
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST YEAR 2021 :			(459,918,949.00)
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (Php)	DATE DECLARED	
5.1 CASH	N/A		
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N/A	N/A	N/A	
SECONDARY LICENSE/REGISTRATION WITH SEC AND/OR OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
For the year 2022 : 3,200,000.00	29	138	167

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

SCHEDULE OF CAPITAL EXPENDITURE						
PARTICULARS	SECTOR	FOREIGN COMPONENT		NO SUPPORT SUBSIDY		
		Loan	Grant	Project	Tax	Bank loans
	N.A.					
	Other Source				Total Cost	Status
	N.A.					

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

INCOME STATEMENT

For the year : 2022 (Audited)

	Amount
INCOME	
Service and Business Income	504,615,995
Gains	5,014,477
Other Non-Operating Income	867,377
Total Income	510,497,849
Less: Share of National Government	-
Income After Share of National Government	510,497,849
EXPENSES	
Personnel Services	248,999,007
Maintenance and Other Operating Expenses	164,841,392
Financial Expenses	4,308,719
Non-Cash Expenses	1,127,939,575
Total Expenses	1,546,088,693
Profit/(Loss) Before Tax	(1,035,590,844)
Income Tax Expense/(Benefit)	(398,686,009)
Profit/(Loss) After Tax	(636,904,835)
Subsidy Income	2,000,000,000
Net Income/(Loss)	1,363,095,165

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION	

CASH FLOW STATEMENT
For the year : 2022 (Audited)

CASH FLOW FROM OPERATING ACTIVITIES	Amount
Cash Inflows	7,411,180,506
Cash Outflows	6,466,009,115
Net Cash Provided By (Used in) Operating Activities	945,171,391
CASH FLOWS FROM INVESTING ACTIVITIES	
Cash Inflows	7,821,502,876
Cash Outflows	8,378,347,990
Net Cash Provided By (Used In) Investing Activities	(556,845,114)
CASH FLOWS FROM FINANCING ACTIVITIES	
Cash Inflows	-
Cash Outflows	78,776,895
Net Cash Provided By (Used In) Financing Activities	(78,776,895)
Effects of Exchange Rate Changes on Cash and Cash Equivalents	11,844
Net Increase (Decrease) in Cash and Cash Equivalents	309,561,226
Cash and Cash Equivalents, Beginning of Period	1,649,847,951
Cash and Cash Equivalents, Ending	1,959,409,177

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

For the year: 2022

CASH AND INVESTMENT BALANCE REPORT

	AMOUNT	TERM (No. of Days)	RATE (Per Annum)	DATE	
I. Cash on Hand	20,871,436				
II. Cash in Banks	514,539,254				
III. Net Deposits with BTr					
IV. Special Series (Treasury Bills) with Bangko Sentral (Identify those under trust agreements)					
V. Special Series Placements with BTr (Identify those under trust agreements)	-				
VI. Investments in Other Securities	-				
VII. Others	4,042,973,276				

GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION
BALANCE SHEET

For the year : 2022 (Audited)

ASSETS	ASSETS	Amount
	Current Assets	
Cash and Cash Equivalents		1,959,409,177
Investment securities at amortized cost		2,227,632,423
Receivables		2,084,389,113
Inventories		271,056
Other Assets		9,767,907
Total Current Assets		6,281,469,676
	Non-Current Assets	
Financial assets at fair value through OCI		18,212,500
Investment securities at amortized cost		1,796,981,853
Other non-current investments		146,500
Receivables		7,486,913,197
Investment Property		54,214,727
Property, Plant and Equipment		57,177,719
Right-of-use assets, net		4,922,757
Deferred Tax Assets		776,248,084
Other Assets		4,907,126
Total Non-Current Assets		10,199,724,463
	TOTAL ASSETS	16,481,194,139
LIABILITIES	LIABILITIES AND EQUITY	
	Current Liabilities	
Financial Liabilities		23,851,807
Lease Liability		2,972,376
Inter-Agency Payables		29,963,549
Intra-agency payables		143,618,781
Trust Liabilities		1,608,474
Deferred Credits/Unearned Income		24,163,109
Provisions		65,998,250
Other Payables		93,392,455
Total Current Liabilities		385,568,801
	Non-Current Liabilities	
Financial Liabilities		16,012,493
Lease Liability		1,334,502
Inter-Agency Payables		39,683,010
Deferred Tax Liabilities		153,970
Total Non-Current Liabilities		57,183,975
	TOTAL LIABILITIES	442,752,776
EQUITY	EQUITY	
Share Premium		79,510,460
Stockholders' Equity		9,922,506,400
Retained Earnings		6,036,424,503
TOTAL EQUITY		16,038,441,363
TOTAL LIABILITIES AND EQUITY		16,481,194,139

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

NET OPERATING INCOME	<i>Amount</i>
Schedule 1A	
Accounts receivable - national government	
Clients deposit	
Collection from advances on insured receivables	
Collection of loans receivables	
Collection of pari-passu payables	
Collection of receivables -- clients	
Collection of receivables -- employees/others	
Collection of receivables -- clients, employees, others	
Collection of Sales Contract Receivable	
Dividend Income	-
Foreign Exchange gains	-
Gain on sale of Investment	
Interest and penalties	
interest on investments and deposits	-
Lease income (office space)	
Lease income from acquired assets	-
Lease payment receivable -- car plan	
Miscellaneous deposit	
Misc inc-- service charge	-
Payable to clients/employees/govet agencies	
Payable to clients/employees/ others	
Redemption of agrarian reform bonds	
Reinsurance claims -- ECI	
Reinsurance premium -- Trust liabilities	
Sale of transportation of equipment	
Sale of unserviceable equipment	-
Add: (other Receipts)	
Miscellaneous Income	-
Total:	
TOTAL:	-

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME: SMALL BUSINESS GUARANTEE AND FINANCE CORPORATION

CHART OF ACCOUNTS

For the year : 2022

Net Operating Income	
Sale of Acquired Assets	-
Collection of Advances on Guaranteed Loans	
Advances on Guaranteed Loans	-
Capital Expenditures	
Dividend Payments	
CASH SURPLUS/DEFICIT	
<i>Operating Receipts</i>	
Sale of goods/services	
Income from commitment and gtee fee	
Filing, processing & amendment fees	-
Interest Income -- Direct lending	-
Insurance Premium	
Fund Arrangement Fees	
Add: (Other receipts)	
TOTAL:	-
Current Subsidies:	
Other receipts: (Schedule 1A)	-
Total Receipts:	-
Less:	
Interest and Financial Charges	-
Final Withholding Tax	
Prior period expenses	
Other current expenditures	
MOOE	-
PS	-
Research and Development Cost	-
Credit Cost	-
Total deductions	-
Net Operating Income	-

I, ATTY. LIVIAN MAY SANCHEZ-LLORITO, Acting Corporate Secretary OF THE ABOVE-MENTIONED
(NAME) (POSITION)

GOVERNMENT CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GOVERNMENT CORPORATION INFORMATION SHEET WHICH CONSISTS OF SEVENTEEN (17) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND

DONE THIS 14TH DAY OF AUGUST 2023 IN MAKATI CITY.



(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY CITY/PROVINCE, PHILIPPINES ON AUG 14 2023, AFFIANT PERSONALLY APPEARED BEFORE ME HER IBP CARD WITH ATTY. ROLL NO. 58800.

DOC. NO: 427 :
PAGE NO. 87 :
BOOK NO. 1 :
SERIES OF 2023 :

NOTARY PUBLIC FOR _____ CITY/PROVINCE
Notarial Commission No. _____
Commission expires on December 31, _____
Roll of Attorney Number _____
PTR No. _____
IBP No. _____
Office Address: _____

JOSEBO PAUL P. JANDAYAN
Commission No. M-074
Notary Public for Makati City
Until December 31, 2024
17th Floor, 139 Corporate Center
139 Valero St., Makati City
Roll No. 61166/3-27-2012
PTR No. 9574671; 1/10/23; Makati City
IBP No. 294228; 1/19/23; Laguna
MCLE VII - 0014721; 4/6/22